

# Raymond James & Associates for Arthur W. Wood Company, Inc.

## Filters

Rule 606	
Date 2017 - Quarter 2 - 04/01/2017 - 06/30/2017	

## Results

Market Center	Exchange	Area	Venue 606	Non Dir (%)	Market (%)	Limit (%)	Other (%)	Non Dir (#)	Market (#)	Limit (#)	Other (#)
TRAJA	NASD - NASDAQ Stock Exchange	0	Total	100.00%	93.74%	2.03%	4.23%	591	554	12	25
TRAJA	NASD - NASDAQ Stock Exchange	1	Citadel Securities(CDRG)	26.06%	25.27%	50.00%	32.00%	154	140	6	8
TRAJA	NASD - NASDAQ Stock Exchange	1	Two Sigma Securities(SOHO)	24.53%	24.73%	41.67%	12.00%	145	137	5	3
TRAJA	NASD - NASDAQ Stock Exchange	1	UBS Securities LLC(UBSS)	20.81%	21.66%	0.00%	12.00%	123	120	0	3
TRAJA	NASD - NASDAQ Stock Exchange	1	G1 Execution Services, LLC(ETMM)	20.30%	20.04%	8.33%	32.00%	120	111	1	8
TRAJA	NASD - NASDAQ Stock Exchange	1	Knight Equity Markets(NITE)	7.28%	7.58%	0.00%	4.00%	43	42	0	1
TRAJA	NASD - NASDAQ Stock Exchange	1	Cantor Fitzgerald & Co.(CANT)	0.68%	0.72%	0.00%	0.00%	4	4	0	0
TRAJA	NASD - NASDAQ Stock Exchange	1	Vandham Securities(VNDM)	0.17%	0.00%	0.00%	4.00%	1	0	0	1
TRAJA	NASD - NASDAQ Stock Exchange	1	UBS Securities LLC(UBSD)	0.17%	0.00%	0.00%	4.00%	1	0	0	1
TRAJA	NYSE - New York Stock Exchange	0	Total	100.00%	91.99%	4.97%	3.04%	724	666	36	22

# Raymond James & Associates for Arthur W. Wood Company, Inc.

Market Center	Exchange	Area	Venue 606	Non Dir (%)	Market (%)	Limit (%)	Other (%)	Non Dir (#)	Market (#)	Limit (#)	Other (#)
TRAJA	NYSE - New York Stock Exchange	1	G1 Execution Services, LLC(ETMM)	27.90%	28.53%	22.22%	18.18%	202	190	8	4
TRAJA	NYSE - New York Stock Exchange	1	UBS Securities LLC(UBSS)	20.03%	19.22%	38.89%	13.64%	145	128	14	3
TRAJA	NYSE - New York Stock Exchange	1	Citadel Securities(CDRG)	19.89%	19.82%	11.11%	36.36%	144	132	4	8
TRAJA	NYSE - New York Stock Exchange	1	Knight Equity Markets(NITE)	12.02%	12.91%	0.00%	4.55%	87	86	0	1
TRAJA	NYSE - New York Stock Exchange	1	Two Sigma Securities(SOHO)	11.33%	10.96%	13.89%	18.18%	82	73	5	4
TRAJA	NYSE - New York Stock Exchange	1	BNY MELLON CAPITAL MARKETS, LLC(BKMM)	7.04%	7.06%	11.11%	0.00%	51	47	4	0
TRAJA	NYSE - New York Stock Exchange	1	Cantor Fitzgerald & Co.(CANT)	0.69%	0.75%	0.00%	0.00%	5	5	0	0
TRAJA	NYSE - New York Stock Exchange	1	Vandham Securities(VNDM)	0.41%	0.45%	0.00%	0.00%	3	3	0	0
TRAJA	NYSE - New York Stock Exchange	1	UBS Securities LLC(UBSD)	0.28%	0.00%	0.00%	9.09%	2	0	0	2
TRAJA	NYSE - New York Stock Exchange	1	ARXS	0.28%	0.15%	2.78%	0.00%	2	1	1	0
TRAJA	NYSE - New York Stock Exchange	1	Collins Stewart(CSTI)	0.14%	0.15%	0.00%	0.00%	1	1	0	0
TRAJA	OPTN	0	Total	100.00%	0.00%	0.00%	100.00%	35	0	0	35
TRAJA	OPTN	1	WEXM	40.00%	0.00%	0.00%	40.00%	14	0	0	14
TRAJA	OPTN	1	Automated Trading Desk Financial Services,	31.43%	0.00%	0.00%	31.43%	11	0	0	11

# Raymond James & Associates for Arthur W. Wood Company, Inc.

Market Center	Exchange	Area	Venue 606	Non Dir (%)	Market (%)	Limit (%)	Other (%)	Non Dir (#)	Market (#)	Limit (#)	Other (#)
			LLC(AUTO)								
TRAJA	OPTN	1	Citadel Securities(CDRG)	14.29%	0.00%	0.00%	14.29%	5	0	0	5
TRAJA	OPTN	1	SUS	14.29%	0.00%	0.00%	14.29%	5	0	0	5
TRAJA	Other Regional Exchanges	0	Total	100.00%	57.45%	40.43%	2.13%	94	54	38	2
TRAJA	Other Regional Exchanges	1	Citadel Securities(CDRG)	52.13%	31.48%	78.95%	100.00%	49	17	30	2
TRAJA	Other Regional Exchanges	1	Two Sigma Securities(SOHO)	19.15%	24.07%	13.16%	0.00%	18	13	5	0
TRAJA	Other Regional Exchanges	1	UBS Securities LLC(UBSS)	11.70%	20.37%	0.00%	0.00%	11	11	0	0
TRAJA	Other Regional Exchanges	1	G1 Execution Services, LLC(ETMM)	8.51%	11.11%	5.26%	0.00%	8	6	2	0
TRAJA	Other Regional Exchanges	1	Knight Equity Markets(NITE)	8.51%	12.96%	2.63%	0.00%	8	7	1	0