

ARTHUR W. WOOD COMPANY, INC.

Important Information about Personal Privacy and Certain Information Sharing

At Arthur W. Wood Company, Inc., (Wood) we appreciate the trust that clients place in us and we recognize the importance of protecting the confidentiality of nonpublic information that we have in our possession. The information is used to ensure accuracy in reporting and record keeping and to carry out requested transactions. It also allows us, in conjunction with our clearing agent, to create and make available new products and services to meet your financial needs. Keeping this information secure is a top priority for us and in keeping with regulations we share our Privacy Policy for retail clients.

1. This privacy policy applies to customers whose accounts are or were opened with our clearing agent through the Arthur W. Wood Company, Inc. We provide our Privacy Policy to clients when they open a new account and annually after that. If we change this Privacy Policy, you will be notified.
2. We obtain nonpublic information about clients from the following sources:
 - Information received as part of the account opening process (such as name, address and social security number) or as a result of other requested services
 - Information about client's transactions effected through our clearing agent. This information may include securities transactions, account positions, and balances and debit card usage for those that utilize this service from our clearing agent. This information allows us to view confirmations, statements, and other required reports on behalf of the clients.
 - Information we may receive from credit reporting agencies, other brokerage firms or banking sources (such as creditworthiness and credit history).
 - Information we may receive from other broker-dealers during the course of an account transferring process, either incoming or outgoing
3. Without specific prior consent from our client, nonpublic personal information will only be disclosed to our clearing agent (who are governed by their own privacy policy), banks, mutual funds or other securities broker-dealers and then, only in order to facilitate the opening, transferring or maintenance of your account. Other than under those specific circumstances, we do not share client information.
4. We utilize physical, electronic, and procedural controls in keeping with industry standards and practices. Our clients' personal and account information is available only to authorized personnel who need that information in order to provide products or services to the client.
5. If an account is closed, we will continue to adhere to the privacy policies and practices as described in this notice.
6. For clients who visit our website, we do not utilize cookies, or any other method of tracking.